

#5 8-30-02 2216

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

AUG 19 2002

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

5283

In Re Application Of **John Hendricks, et al.**

Serial No.

09/400,296

Filing Date

September 21, 1999

Examiner

N/A

Group Art Unit

~~2766~~ 2166

Title: **Electronic Book Security and Copyright Protection System**

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**AUG 20 2002**

**Technology Center 2100**

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**AUG 26 2002**

**Technology Center 2600**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

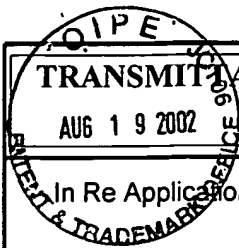
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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In Re Application: John Hendricks, et al.

Technology Center 2600  
Group Art Unit

Serial No.  
09/400,296

Filing Date  
September 21, 1999

Examiner  
N/A

2766

Electronic Book Security and Copyright Protection System

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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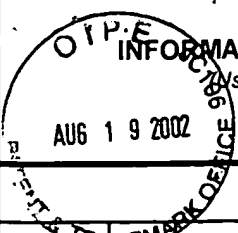
  
Signature

Dated: August 19, 2002

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(703) 288-5260 facsimile

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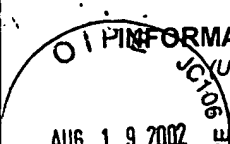
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				ATTY DOCKET NO. 5283		SERIAL NO. 09/400,296	
				John Hendricks, et al.			
				FILING September 21, 1999		GROUP 2766	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,917,915	6/29/1999	Hirose	380	228	
	2.	6,052,717	4/18/2000	Reynolds, et al.	709	218	
	3.	5,986,690	11/16/1999	Hendricks	725	60	
	4.	6,034,680	3/7/2000	Kessenich, et al.	345	733	RECEIVED
	5.	5,341,426	8/23/1994	Barney, et al.	713	171	AUG 20 2002
							Technology Center 2100
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1.	WO 97/23819	7/3/1997	WIPO			
	2.	WO 97/22110	6/19/1997	WIPO			
	3.	WO 97/22067	6/19/1997	WIPO			
	4.	WO 97/22065	6/19/1997	WIPO			
	5.	WO 97/22102	6/19/1997	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
			<div style="font-size: 1.5em; font-weight: bold;">RECEIVED</div> <div style="font-size: 1.2em; font-weight: bold;">AUG 21 2002</div> <div style="font-size: 1.5em; font-weight: bold;">GROUP 3000</div>				
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	<b>ATTY DOCKET NO.</b> 5283	<b>SERIAL NO.</b> 09/400,296
	<b>John Hendricks, et al.</b>	
	<b>FILING</b> September 21, 1999	<b>GROUP</b> 2766

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	16.	WO 97/22099	6/19/1997	WIPO				
	17.	WO 97/22097	6/19/1997	WIPO				
	18.	WO 97/22064	6/19/1997	WIPO				
	19.	WO 97/22108	6/19/1997	WIPO				
	20.	WO 97/22101	6/19/1997	WIPO				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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